

REPORT DETAILS

Report Submission Date

11/26/2025

Reported Company/Branch Information

Location Goldman Sachs & Co.
 200 West Street
 New York, NY 10282
 City/State/Zip: New York, NY, 10282, United States)

Are you a contingent worker/vendor employee of the Goldman Sachs Group, Inc. or one of its affiliates?

No

Please identify the person(s) involved in the incident(s):

Robert Boroujerdi - former Global Head of Research
 Craig Tamamoto - former Head of HCM, GIR
 Johanna Diaz - Global Head of Alternatives Product Strategy

Where did the incident(s) occur?

The incident occurred within the Human Capital Management (HCM) and supervisory review process associated with my January 5, 2016 separation from Goldman Sachs in New York.

When did the incident(s) occur?

The primary incident occurred on January 5, 2016 during the HCM-led termination process. The inconsistencies in the related records were identified in 2025 during a review of historical employmen

How did you become aware of the incident(s)?

It happened to me

Is the person's manager/supervisor aware of the incident(s)?

Yes

If yes, then who?

Johanna Diaz

Is anyone else aware of the incident either within or outside of the firm?

Yes

If yes, then who?

Craig Tamamoto and Robert D. Boroujerdi

Have you previously made a report of the incident(s)?

No

Details

Concerns regarding accuracy, completeness, and internal handling of employee records relating to my employment and separation from Goldman Sachs (Employee ID: 00152276).

Summary of Concern

I am submitting this report as a former employee to request an internal review of potential inaccuracies and inconsistencies in my personnel records and the documentation associated with my Janu from the firm.

Between 2012 and 2016, I worked for Goldman Sachs across two divisions (IBD and GIR), beginning as a long-term temporary employee and later being converted to full-time. My performance review 2015—approximately two months before my separation—reflects positive feedback and does not contain any indications of performance concerns, behavioral issues, or need for corrective action.

At the time of my separation on January 5, 2016, I was told verbally that I had been "previously warned" regarding communication with a colleague. After recently reviewing my records, I have found r documentation, HR notes, performance flags, PIPs, or other internal materials that would support the existence of any warning, corrective conversation, or HR involvement prior to that date. This is in understanding of HR and HCM protocols, in which any conduct-related warning or performance concern would require written documentation, acknowledgment, and follow-up.

In addition, my termination was classified as a Reduction in Force (RIF), and I was provided with a standard severance package. My understanding is that RIF classification is not used when an employ issues or when HR has documented concerns about behavior or stability.

The absence of any written record supporting the explanation given to me at separation, combined with the positive performance review issued shortly beforehand, suggests that my personnel file r inconsistent, or inaccurately maintained. I am concerned that either:

certain documents or notes were not created according to protocol,

records were not retained as required,

or internal comments made at the time of my departure may not have aligned with HR/HCM standards for accuracy and documentation.

Because I do not have internal visibility into HR recordkeeping systems, I cannot determine whether these inconsistencies reflect normal retention practices, an administrative oversight, or a deviatio procedures.

Reason for Reporting

I am requesting that Compliance review whether:

My personnel file is complete and accurately reflects my performance history and any HR interactions;

HCM policies were followed in my separation, including required documentation of any warnings or issues;

Internal commentary or assessments made at the time of my separation were consistent with firm standards for accuracy and record integrity;

Any inconsistencies or gaps identified require correction, documentation, or internal follow-up.

This report is being submitted in good faith to ensure that my records have been handled, maintained, and characterized in accordance with Goldman Sachs policies and supervisory expectations.

Additional Information:

I recently reached out to HCM requesting copies of my personnel records so that I could better understand the inconsistencies noted above.

Because I do not have visibility into internal HR systems or retention practices, I am submitting this report to request an independent review to ensure that my records have been accurately maintain policies were followed at the time of my separation.

I can provide any supplemental information needed to support the review.

Requested Outcome

I respectfully request that Goldman Sachs:

Review the accuracy and completeness of my HR/HCM file;

Confirm whether internal procedures were followed in connection with my separation;

Identify any discrepancies in internal notes or commentary associated with my departure; and

Provide general guidance on whether any updates, corrections, or clarifications to my record will be made.

Uploaded Files

1. Priya Ross GS Performance Review 2015.pdf
10/31/2015 Performance Review
2. GS ethics report docs.pdf
Oct 31 2015 Performance Review and Jan 1 2016 Severance Agreement
3. C. Tamamoto 2017 Outlook emails.pdf
2017 emails sent to Mr. Boroujerdi at GS printed from Craig Tamamoto's Outlook account.
4. Dad's May 2 email.pdf
Sworn statement from my father regarding contact from Mr. Boroujerdi's legal counsel in 2025 raising questions of how she obtained his personal information and was able to link him to me emergency contact details.
5. 12-15-2025 response from NYDFS.pdf
NYDFS advisement to report to NY DOL
6. 12-15-2025 NY DOL email.pdf
NY DOL email
7. 12-15-2025 NY DOL receipt.pdf
NY DOL email receipt
8. 12-15-2025 NYDFS Cybersecurity follow up.pdf
NY DFS Cybersecurity complaint follow up
9. 12-15-2025 receipt NYDFS Cybersecurity Incident report.pdf
12-15-2025 NYDFS cybersecurity complaint confirmation receipt
10. 12-18-2025 ReportFraud.ftc.gov - Confirmation.pdf
FTC complaint filed 12-18-2025
11. DSAR email thread.pdf
Jan 7 DSAR email updates
12. 1-10-2026 Compliance Breach and Preservation Demand letter.pdf
Jan 10 Compliance Breach and Preservation Demand Letter
13. 1-10-2026 demand email.pdf
Jan 10 email - compliance breach and preservation demand

Follow-Up Notes

12/7/2025 6:08 PM

Subject: Follow-Up Submission and Supporting Documentation: Potential Unauthorized Access and Disclosure of GS Records

I am submitting this follow-up to my prior ethics report to provide new information and supporting documents regarding potential unauthorized access, retention, or disclosure of information that I previously maintained about me. I obtained documents that raise serious concerns about the handling of:

- archived internal email communications, and
- confidential HR emergency-contact information.

I am uploading the PDFs containing the relevant evidence.

1. Internal GS Emails Printed From Former HCM Employee's Outlook Account

Documents filed publicly in a 2025 Connecticut civil protective-order application submitted by former GS employee Robert Boroujerdi include internal Goldman Sachs emails that I sent to him in 2017. The print headers on these documents show the name:

"Tamamoto, Craig (HCM)"

indicating they were printed from the Outlook mailbox of Craig Tamamoto, a former HCM employee.

Both individuals (Mr. Boroujerdi and Mr. Tamamoto) left Goldman Sachs years ago, yet in 2025:

- internal emails were printed or accessed,
- and subsequently used as exhibits in external litigation.

I have attached these pages in the uploaded PDF for your review.

Concern:

This suggests a potential failure in access revocation, data-retention controls, or email-archive security that allowed former employees to access or retain internal GS data post-employment.

2. Possible Unauthorized Retention or Disclosure of My GS Emergency-Contact Information

I am also concerned about the potential unauthorized use of my emergency-contact information, specifically my father's name, relationship to me, and phone number.

In January 2025 and again in May 2025, an individual identifying themselves as associated with Mr. Boroujerdi's legal counsel contacted my father directly regarding the same civil matter.

This is concerning because:

- My father and I do not share a last name.
- We do not share an address.
- Our contact information is not publicly linked.
- The only source that has maintained his accurate contact information was Goldman Sachs HCM during my employment (2014–2016).

I have attached the relevant pages, including my father's statement.

Concern:

This raises questions about whether my HCM records—including emergency-contact details—were improperly retained, accessed, or disclosed outside authorized HR processes.

3. Request for Internal Review

Given the seriousness of these issues, I respectfully request that Goldman Sachs:

(1) Confirm whether any internal investigation has been opened into potential unauthorized access or disclosure of:

- Outlook email archives
- HR/HCM records
- Emergency-contact information
- Data associated with former employee mailboxes

(2) Review how internal GS emails printed from Craig Tamamoto's mailbox were accessible or retained years after his separation.

(3) Determine whether my emergency-contact information was improperly accessed or retained and whether its disclosure to external parties constitutes a breach of confidentiality.

(4) Inform me whether these occurrences fall within Goldman Sachs' definitions of a privacy or data-security incident under firm policy.

I am available to provide any further information that would assist your review.

Thank you for your attention and for reviewing the supporting documents I have uploaded.

Warm regards,

Priya Ross

Former Employee ID: 00152276

12/18/2025 12:25 PM

Please be advised that an FTC complaint on these matters was filed today. See uploaded file for the complete report.

1/10/2026 8:30 PM

I am submitting this update to document subsequent developments following my prior reports and correspondence.

On January 7, 2026, Goldman Sachs issued a response to my November 26 and December 7, 2025 notices that was limited to a denial of access under New York privacy law. That response did not address internal compliance, cybersecurity, or incident-response review had been initiated in connection with the issues raised, nor did it confirm whether access controls, audit logs, or data-retention practices were in place.

As a result of the firm's failure to substantively address the incident notices or confirm investigation, I have yet again notified the appropriate regulatory bodies, including FINRA, the U.S. Securities and Exchange Commission, and the New York State Department of Financial Services, to ensure regulatory awareness.

In addition, on January 10, 2026, I transmitted a formal Compliance Breach & Preservation Demand to Goldman Sachs requesting confirmation of investigation and record-preservation steps.

This update is provided to ensure the ethics record accurately reflects the firm's response and the steps taken following the January 7, 2026 communication. Please see the additional uploaded files.

2/3/2026 9:23 AM

I am submitting this report as a supplemental ethics and risk notice in connection with matters previously raised with Goldman Sachs and with multiple regulatory authorities.

As the firm is aware, I have transmitted a formal litigation demand letter concerning the unauthorized acquisition, retention, and downstream use of Goldman Sachs–originated materials and employment narratives, and I am awaiting the firm's response. This submission is intended to provide additional factual context relevant to those issues and to the firm's ongoing risk assessment.

On February 2, 2026, during a remote court hearing in a civil tort action to which Goldman Sachs is not a party, statements were made invoking future civil protection order filings and a psychological evaluation despite no such matters being pending in that proceeding. In context, those statements echoed and relied upon the same stigmatizing narrative previously advanced through the use of 2017 internal communications referencing a historical mental-health episode—materials that are corporate property of Goldman Sachs and that were introduced into external litigation without relevance to any current conduct.

In addition, Robert ("Bob") Boroujerdi appeared as a non-party attendee at the hearing. Mr. Boroujerdi has no formal role in the action and maintains an active civil protection order against me. I have additional reports with regulatory authorities concerning Mr. Boroujerdi. Those reports arise from conduct that occurred during, and is traceable to, his employment at Goldman Sachs, including the downstream dissemination of Goldman Sachs–originated communications.

This submission is provided so that the firm is aware of continued downstream use of Goldman Sachs corporate materials, the manner in which those materials are being leveraged in external proceedings resulting in legal, regulatory, and reputational risk to the firm. This notice is not intended to duplicate or replace my prior demand letter, but to supplement the firm's understanding of the evolving facts.

Please retain this submission as part of the firm's ethics, compliance, and risk-management records.

Priyamol Ross

Follow-Up Questions/Comments

11/28/2025 1:57 PM posted by Organization

Thank you for your report. We take matters of this nature seriously and will review accordingly. Thank you again.

11/29/2025 1:13 PM posted by Reporter

I am submitting this supplemental information to assist in your review of my earlier report regarding potential inaccuracies in my employment records.

As I have been reviewing the documents associated with my January 2016 separation from Goldman Sachs, I identified an additional inconsistency that I believe may be relevant to internal controls, r

accuracy, and policy compliance.

Specifically, the severance agreement I signed on January 5, 2016 contains language stating that my departure would be treated as an "involuntary departure in connection with a downsizing." This page 2 of the agreement under the section addressing the treatment of certain investments.

At the time, I was verbally informed that my employment was being terminated "for cause." I was never informed that my departure was being internally classified as part of a downsizing, reduction in category.

I am not making any legal conclusions; I am simply noting that the written classification ("involuntary departure in connection with a downsizing") does not match the verbal explanation that was provided during the termination discussion. Because I do not have visibility into the internal systems or codes that would have been applied to my employment record, I am unsure how my separation was ultimately categorized in the Compliance, or payroll systems.

I am raising this discrepancy because accurate classification at the time of separation is essential for:

- proper recordkeeping,
- correct application of internal policies,
- accurate HR and supervisory documentation, and
- ensuring that information referencing former employees is not inadvertently misstated or misused.

If my records reflect a different classification than what was communicated to me, I would appreciate clarity on whether appropriate procedures were followed and whether any corrective steps or updates to my personnel file are needed.

Thank you for your continued attention to this matter.

I am available to provide additional detail if helpful.

12/9/2025 3:56 PM posted by Organization

Thank you for your report and supporting documents. The firm takes these matters seriously.

We will review the concerns you have raised and let you know if we have any questions during our review.

We are also in receipt of the emails that you have sent to various distribution lists and other individuals at the firm in recent weeks. We are acknowledging receipt of those emails and request that you use the Business Integrity Portal as a central place for any additional communication with the firm.

12/15/2025 3:13 PM posted by Reporter

I am submitting this update to inform Goldman Sachs that, in addition to my prior communications on November 26, November 29, December 7, and December 9 regarding the unauthorized retention of internal GS records, I have now filed a formal complaint with the New York State Department of Labor (NY DOL) concerning the employment-related aspects of this matter.

This filing was made at the recommendation of the New York Department of Financial Services (NYDFS), which advised that the termination-classification and HR-recordkeeping issues fall within NYDFS's jurisdiction. NYDFS separately reviews the cybersecurity and access-governance concerns under 23 NYCRR Part 500.

To summarize the issues now under review:

Misclassification of my January 5, 2016 termination, including contradictory verbal and written representations ("for cause" vs. "involuntary downsizing").

Absence of disciplinary records, warnings, or performance documentation that would justify a "for cause" designation.

Irregular severance procedures, including an unusually short 8-day response window and lack of disclosure regarding the alleged downsizing.

Goldman Sachs's current refusal to provide copies of my personnel records, despite multiple written requests and a DSAR submission on November 27, 2025.

Ongoing harm caused by inaccurate or misleading internal HR representations, which have been relied upon in external legal proceedings in 2017 and again in 2025.

NY DOL will now address these HR-related concerns, while NYDFS Cybersecurity, FINRA, and the SEC are already reviewing the data-governance and unauthorized-access issues.

Please update my file to reflect this additional regulatory activity. I remain available to provide any clarification or documentation required as part of Goldman Sachs's internal review.

Thank you.

— Priyamol Ross

3/17/2026 12:53 PM posted by Organization

Thank you for your report and supporting documents. As previously noted, we are also in receipt of the emails that you have sent to various distribution lists and other individuals at the firm. We have reviewed your concerns and have now concluded our review, and consider this matter closed. Should you have any new concerns or material information, we request that you use this channel (the Business Integrity Portal) as a central place for any additional communication with the firm. Thank you.

3/17/2026 12:58 PM posted by Reporter

I acknowledge your response. For clarity, my prior correspondence raised specific questions regarding potential unauthorized access, retention, and disclosure of internal communications, as well as confirmation of any investigation and preservation measures.

Your response does not address those issues.

I will proceed accordingly.

Chat Transcripts

There are no chat transcripts for this incident.