



Nook & Bloom Care <care@nookandbloom.com>

Board-Level Notification of Potential Data-Privacy Incident Involving Former Employee Records

1 message

Nook & Bloom Care <care@nookandbloom.com>

Tue, Dec 9, 2025 at 2:55 AM

To: gs-investor-relations@gs.com

Bcc: priya.marie.ross@gmail.com

This message is intended for the Board of Directors and the Office of the Corporate Secretary.

To the Board of Directors and Relevant Governance Functions of The Goldman Sachs Group, Inc.,

I am writing to provide formal notice of a potential data-privacy incident involving confidential information connected to my prior employment at Goldman Sachs & Co. LLC (“GS”). I respectfully request that this notice be shared with the appropriate members of the Board, including those responsible for data privacy, cybersecurity, internal controls, and enterprise risk oversight.

I am providing this notification at the Board level because the circumstances implicate core governance areas, including internal data retention, former-employee information security, offboarding controls, and potential obligations under applicable privacy and cybersecurity frameworks such as the NYDFS Cybersecurity Regulation (23 NYCRR 500). This communication is submitted solely for informational and compliance purposes.

1. Summary of the Incident

In January 2025, internal GS-origin documents—specifically, emails sent in 2017 to the GS Outlook inbox of then-employee Robert D. Boroujerdi, containing sensitive personal information—were filed by third parties in a Connecticut court proceeding. These emails:

- originated from the GS internal Outlook system;
- contained sensitive personal and mental-health-related information;
- were printed or accessed years after Mr. Boroujerdi’s departure from the firm; and
- appear to have been retained or accessed outside of authorized channels.

Additionally, documents filed in the same proceeding referenced or relied upon information that could only have originated from internal HR/HCM systems, including emergency-contact information previously

maintained in GS records.

At no time was I notified that any such emails or confidential data associated with my former employment had been retained, accessed, or shared after my departure.

2. Notifications Already Sent to GS Departments

On **December 7, 2025**, I provided written notice requesting immediate review to:

- Human Capital Management (HCM)
- DSAR/Privacy Office
- Regulatory Inquiries Department

Copies of that correspondence were also filed in a Connecticut court, as the internal GS materials were used in ongoing legal proceedings. Please see the attached documents.

Additionally, I submitted a report through the **Goldman Sachs Integrity Web Reporting Form** at:

<https://secure.ethicspoint.com/domain/media/en/gui/53272/index.html>

Aside from an automated response from Navex Global acknowledging receipt of my portal submission, I have not yet received acknowledgment from any GS department.

3. Areas of Concern

Based on the information available, this matter may involve:

1. **Post-employment retention of GS Outlook mailbox data** belonging to a former employee;
2. **Potential unauthorized access** to archived GS communications;
3. **Possession and external dissemination** of GS-origin internal documents by individuals with no employment relationship to GS;
4. Potential deficiencies in **offboarding and deprovisioning controls**;
5. Possible misuse of **HCM-maintained emergency-contact information**;
6. Public filing of internal GS documents in a court proceeding, raising confidentiality and privacy concerns.

I recognize that only an internal review can determine the precise facts, origin of access, and any needed remedial steps.

4. Regulatory Notifications Submitted

Out of an abundance of caution, and consistent with expectations for reporting potential data incidents in the financial-services sector, I submitted whistleblower or regulatory notifications to:

- **NYDFS**,

- **SEC**, and
- **FINRA**

on **December 7, 2025**, describing the same underlying facts.

This letter is *not* a complaint, allegation, or demand; rather, it is to ensure that the Board is informed that the issue has been reported both internally and externally.

5. Request for Acknowledgment and Record Preservation

I respectfully request:

1. **Acknowledgment of receipt** of this notice;
2. **Confirmation that appropriate record-preservation steps are being taken** regarding relevant systems and data, including Outlook archives, access logs, offboarding records, internal HCM data, and communications concerning this matter.

This request is made to ensure that GS is able to conduct a complete and accurate internal review.

6. Contact Information and Preferred Email Address

My preferred email address for all communications related to this matter is:

priya.marie.ross@gmail.com

Out of caution, I am sending this notice from an alternate address because I have reason to believe that recent messages sent from my preferred address may not be consistently received by GS systems. I would greatly appreciate if all replies and acknowledgments could be directed to my preferred email address above.

7. No Adversarial Intent

For clarity:

- I do **not** allege intentional wrongdoing by GS or any GS personnel;
- I do **not** seek compensation or threaten litigation;
- This notice is provided strictly so that the appropriate governance, compliance, and internal-review processes may be engaged.

Thank you for your attention to this matter. I appreciate your assistance in ensuring that this notice is directed to the appropriate Board committees and executive functions.

Respectfully,

Priya Ross

5 attachments

 **DSAR-privacy email.pdf**
152K



Regulatory Inquiries email.pdf
157K



C. Tamamoto 2017 Outlook emails.pdf
388K



Nov-Dec 2025 GS HCM A Hendricks email.pdf
156K



Dad's May 2 email.pdf
443K